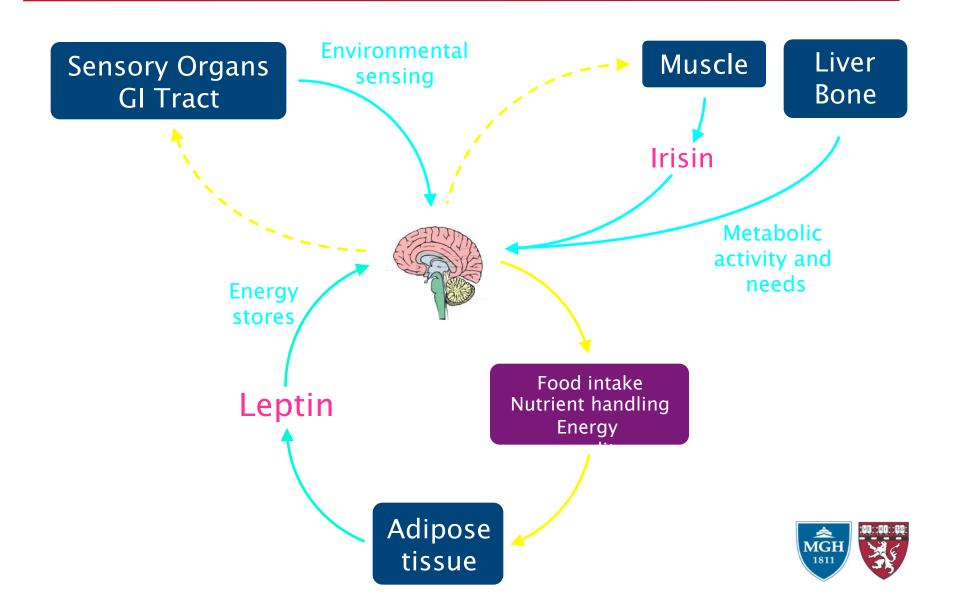
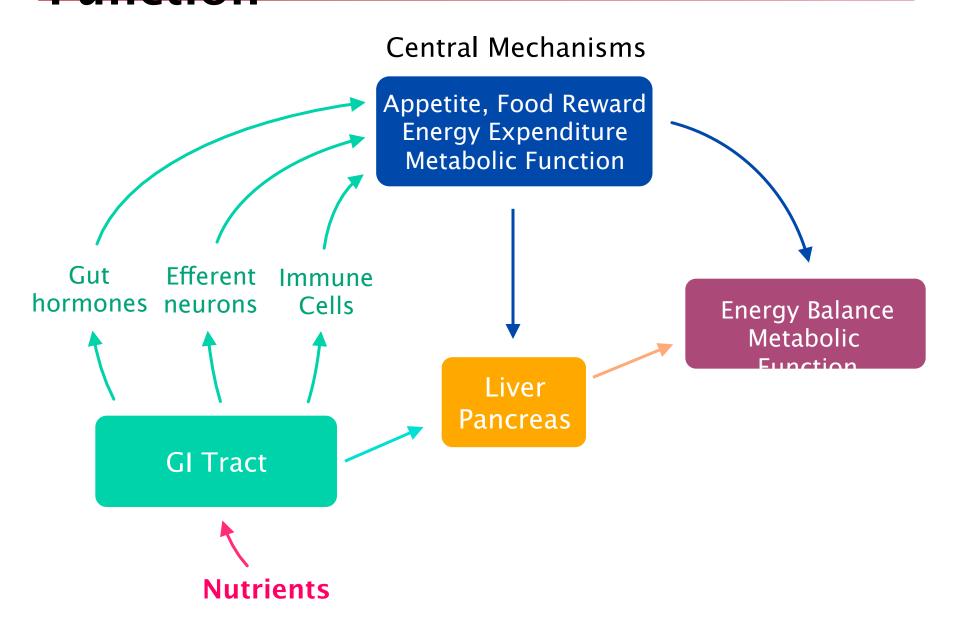
Long-term Effects of Bariatric Surgery

Lee M. Kaplan, MD, PhD
Obesity, Metabolism & Nutrition Institute
Massachusetts General Hospital
Harvard Medical School
Boston, Massachusetts

Feedback Regulation of Energy Metabolism



GI Regulation of Metabolic Function



Weight Loss Surgery

Adjustable Gastric Gastrectomy Banding

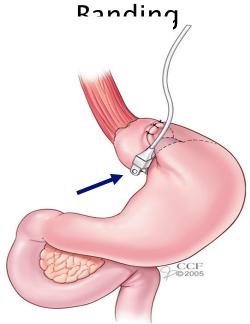
Combinatio Roux-en-Y

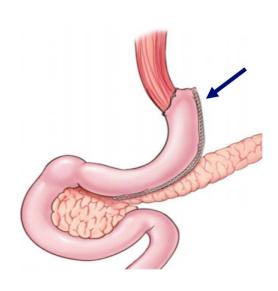
Metabolic Surgery

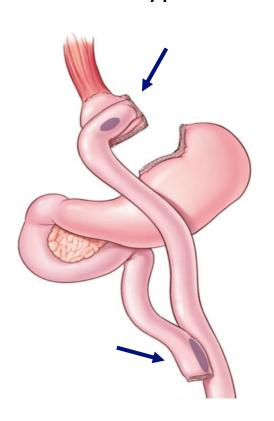
Weight-independent Metabolic Benefits

Adjustable Gastric

Vertical Sleeve Gastrectomy Roux-en-Y Gastric Bypass

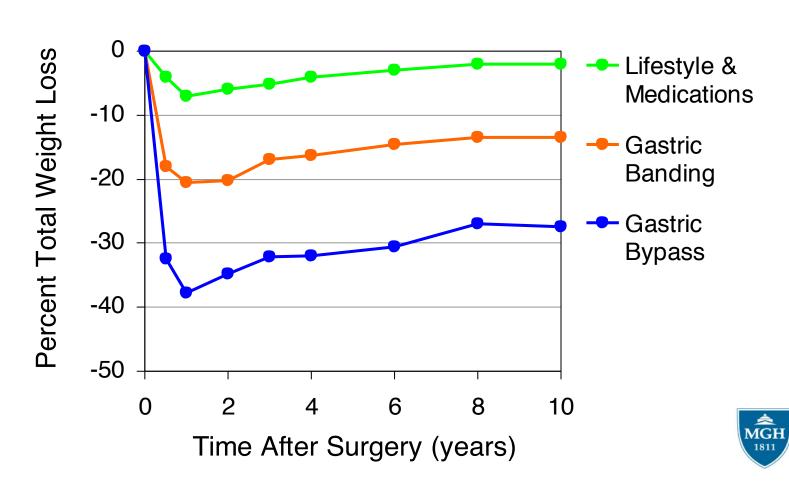






Effectiveness of Obesity Treatments

Swedish Obesity Subjects Diabetes Prevention Program



Why is bariatric surgery so effective?



Mechanisms of Bariatric Surgery

Classical model: Mechanical

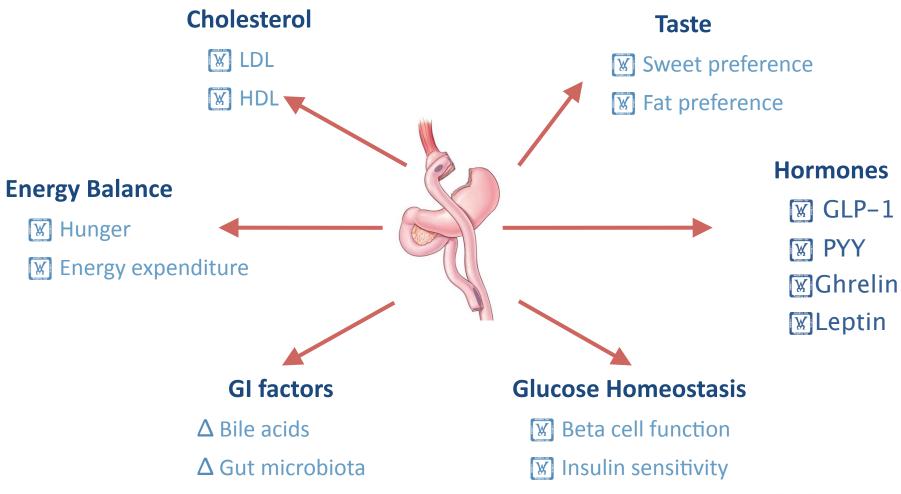
Restricted food intake Malabsorption Current model: Physiological

Altered GI signals to brain

- Endocrine
- Neuronal

Altered GI signals to other tissues (pancreas, liver)

RYGB Induces System-wide Physiological Changes





The effects of bariatric surgery are fundamentally and broadly different from the effects of other types of weight loss



RYGB is the Opposite of Restrictive Dieting

	Diet	RYGB
Energy expenditure	W.	
Appetite	W	
Hunger	W	
Satiety	W.	
Reward-based eating	W	
Stress response	W	
Gut peptides		
Ghrelin	X	
GLP-1, PYY, CCK, amylin	W	

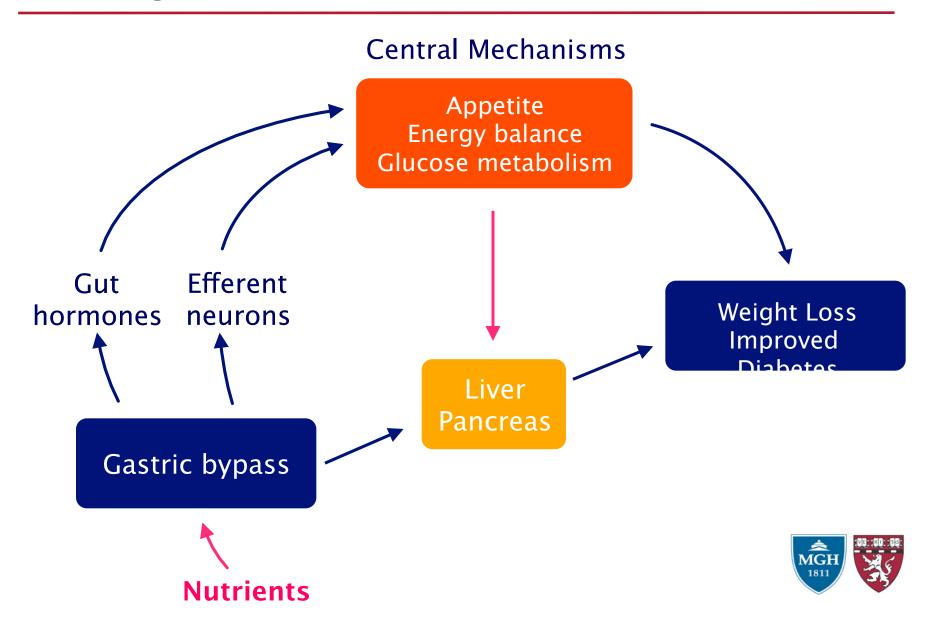


RYGB is the Opposite of Restrictive Dieting

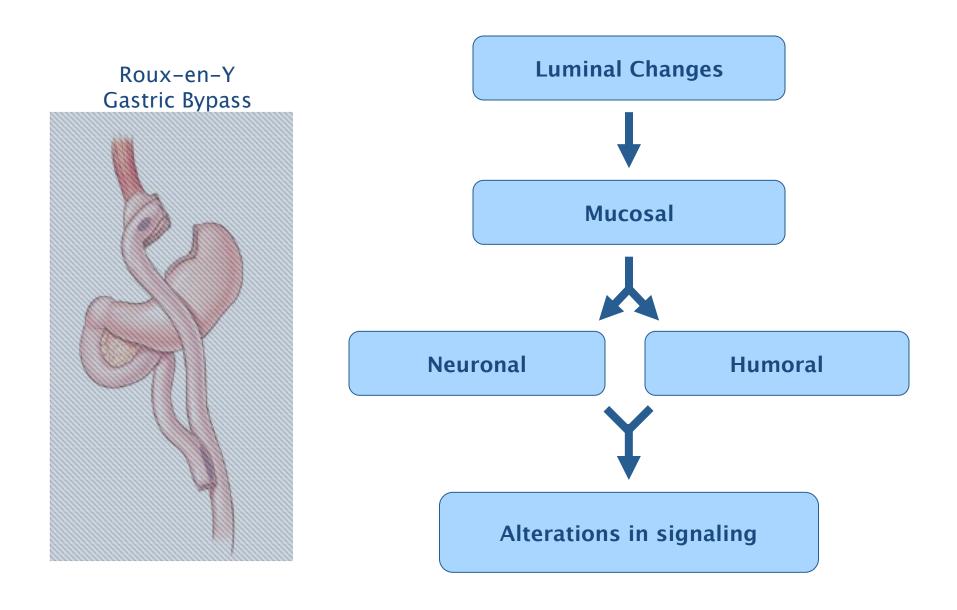
	Diet	RYGB
Energy expenditure	X	W. Control
Appetite	W.	W
Hunger	W.	X
Satiety	X	X
Reward-based eating	THE STATE OF THE S	X
Stress response	W. Santara	X
Gut peptides		
Ghrelin	W.	X
GLP-1, PYY, CCK, amylin	W.	X



GI Regulation of Metabolic Function



Bariatric Surgery Illuminates GI Regulation of Metabolic Function



What Are the Luminal Contributors?

Roux-en-Y Gastric Bypass

Luminal

Nutrients

- Lipids
- Carbohydrates
- Proteins / amino acids

Pancreatic enzymes

Bile acids Microbiota

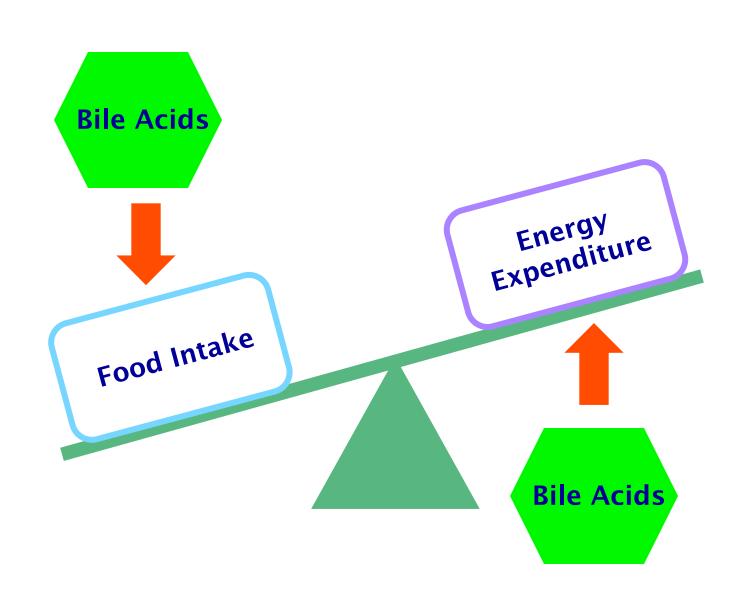
Neuronal

Humoral

Alterations in signaling

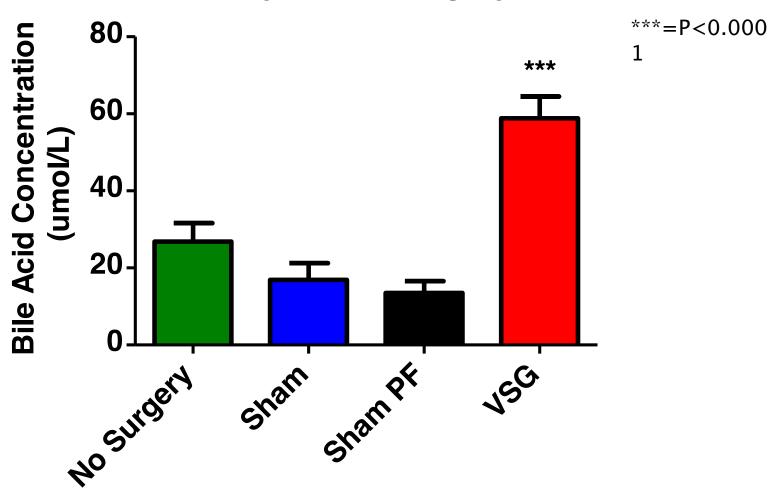
Bile Acids

Bile Acids Regulate Energy Balance

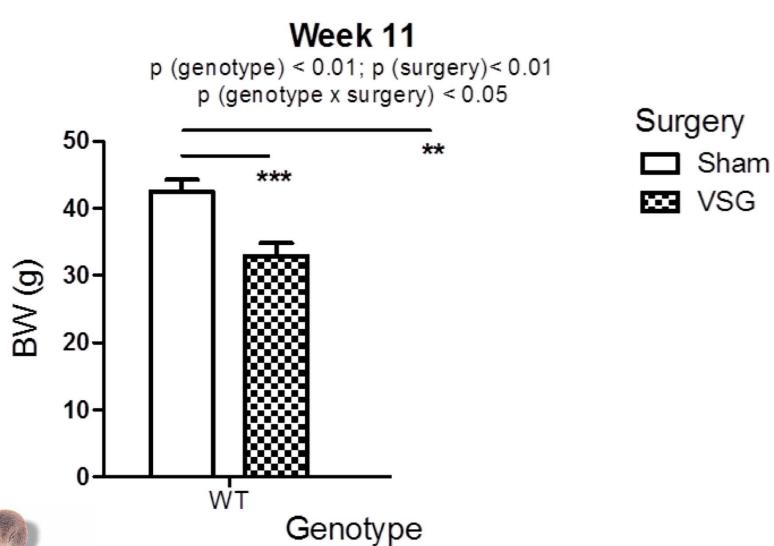


Elevated Fasting Serum Bile Acid Levels after VSG

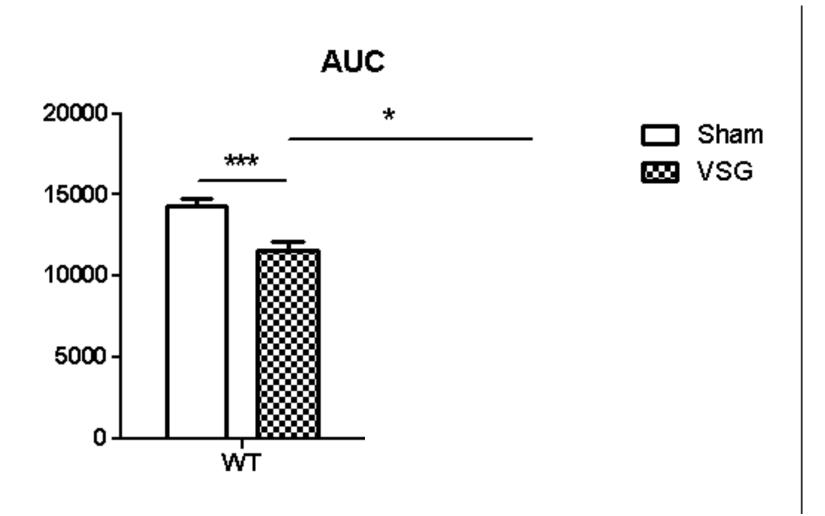
14 Days Post Surgery



Bile Acid Receptor FXR Required for VSG Action



Bile Acids Contribute to Antidiabetic Effects of VSG

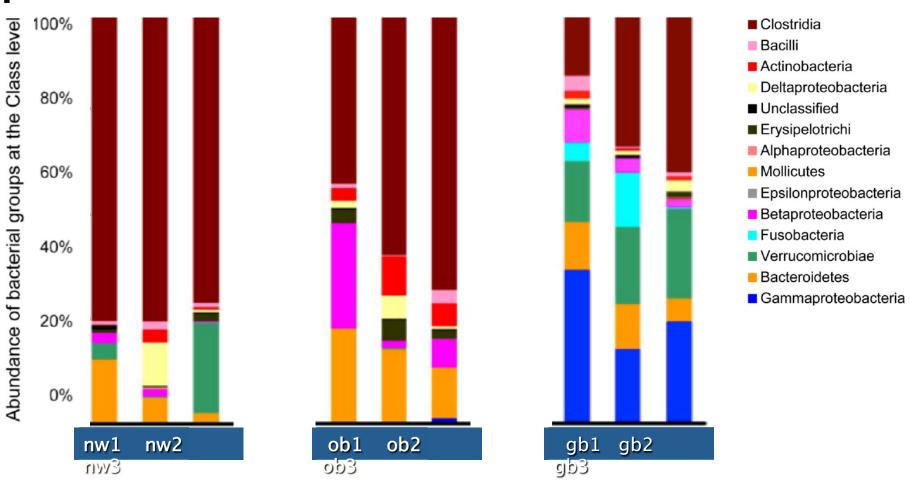




Intestinal Microbiota

Gut bacterial profile differs among lean, obese, and post gastric bypass human

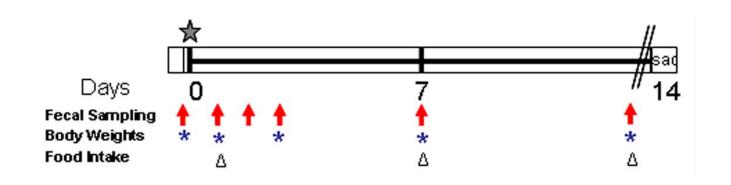
patients



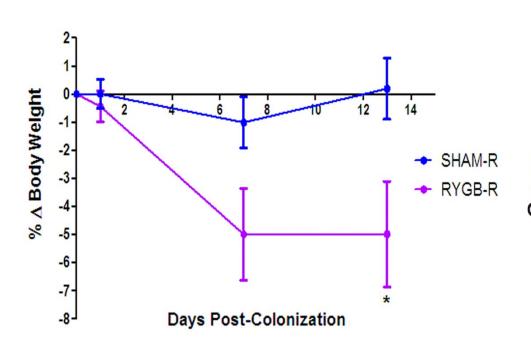
Do these changes contribute to the outcomes after RYGB?

Experimental Design

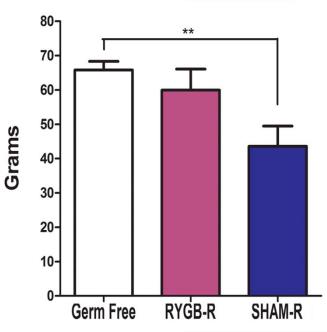




Microbiota Can Transmit Beneficial Effect of RYGB



Cumulative Food Intake





Liou et al. Science Transl Med. 2013;5:178r41.

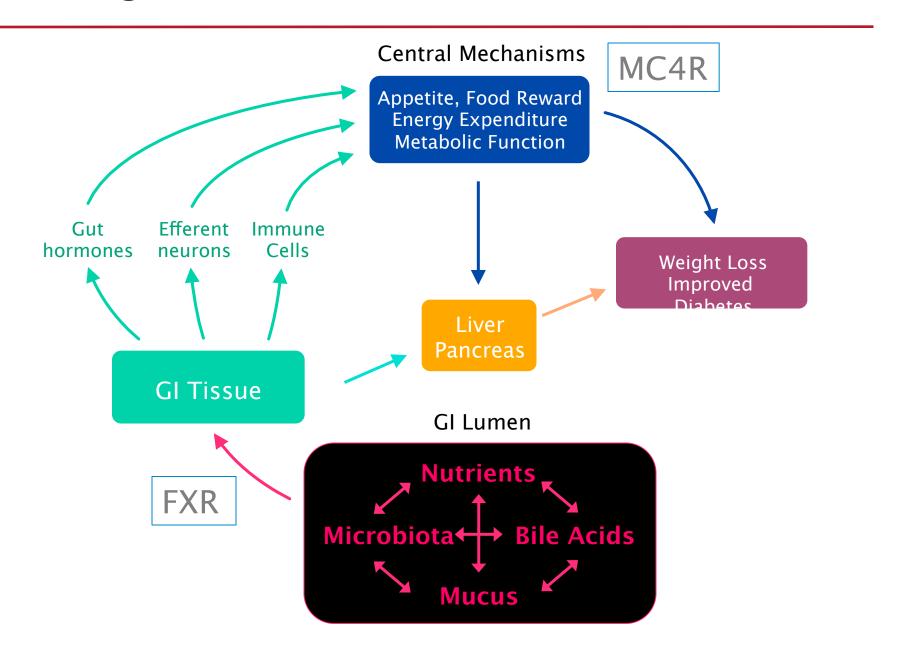
Summary - The Physiology of Bariatric Surgery

- RYGB and VSG induce weight loss by changing the physiological regulation of energy balance and lowering the fat mass set point.
 - Decreased appetite
 - Increased energy expenditure for RYGB and BPD/DS
 - Weight loss-dependent AND independent improvement in diabetes
- RYGB and VSG act at multiple levels, beginning in the gut lumen.
 - Luminal changes regulate GI mucosal activity
 - Resulting GI-derived neural, endocrine and immune cellmediated signals influence CNS and peripheral metabolic regulation
- RYGB and VSG influence bile acid biology.
 - Elevated circulating levels with faster postprandial rise

Summary - Bariatric Surgery and the Gut Microbiota

- RYGB and VSG rapidly and durably alter gut microbial ecology, primarily in the distal gut.
- RYGB alterations of the microbiota are independent of weight loss and independent of dietary changes.
- Microbiota altered by RYGB contribute to several metabolic outcomes of this operation, including body weight, adiposity, lipids and insulin.
- Alterations in the gut microbiota after RYGB appear to influence energy balance primarily by increasing energy expenditure.

GI Regulation of Metabolic Function



Cardiometabolic Health Congress

The Long-term Effect of Bariatric Surgery

Lee M. Kaplan, MD, PhD

Obesity, Metabolism & Nutrition Institute Massachusetts General Hospital Harvard Medical School

LMKaplan@partners.org

October 25, 2014





Long-term Effects of Metabolic Surgery

Prof. Francesco Rubino, M.D.

Chair, Bariatric and Metabolic Surgery King's College London

DISCLOSURE SLIDE

- NGM Biopharmaceuticals (SAB Member)
- Fractyl Laboratories (Advisor/Consultant)

Randomized Clinical Trials

(2007-2014)

Bariatric surgery versus non-surgical treatment for obesity: a systematic review and meta-analysis of randomised controlled trials



BMJ Oct 22, 2013

Viktoria L Gloy junior researcher¹, Matthias Briel assistant professor¹², Deepak L Bhatt professor³, Sangeeta R Kashyap associate professor of medicine⁴, Philip R Schauer medical director, professor of surgery⁵, Geltrude Mingrone professor⁶, Heiner C Bucher director¹, Alain J Nordmann associate professor¹

Study
Mingrone 2012 ¹⁴
Schauer 2012 ¹⁸
Reis 2010 ²⁰
Ikramuddin 2013**
Liang 2013 ⁸⁴
O'Brien 2006 ²³
O'Brien 2010 ²¹
Dixon 2008ss
Dixon 2012 ⁸²
Mingrone 2002 ¹⁷
Heindorff 1997 ²⁶

- 11 studies, 796 patients, BMI 27-53
- Surgery superior to med Rx
 - Wt. loss, HbA1c, T2DM remission, TG, HDL, remission of metabolic syndrome, QOL, medication reduction
- No difference in BP or LDL
- No CV events or death after surgery
- Anemia (15%), Reoperation (8%)



2 Recent RCT's JAMA Surgery June 4, 2014

Surgical vs Medical Treatments for Type 2 Diabetes Mellitus: A Randomized Clinical Trial

Anita P. Courcoulas, MD, MPH; Bret H. Goodpaster, PhD; Jessie K Eagleton, MPH; Steven H. Belle, PhD, MScHyg; Melissa A. Kalarchian, PhD; Wei Lang, PhD; Frederico G. S. Toledo, MD; John M. Jakicic, PhD

Roux-en-Y Gastric Bypass Surgery or Lifestyle With Intensive Medical Management in Patients With Type 2 Diabetes Feasibility and 1-Year Results of a Randomized Clinical Trial

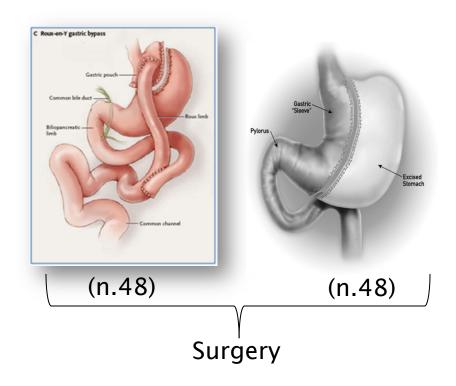
Florencia Halperin, MD; Su-Ann Ding, MD; Donald C. Simonson, MD, MPH, ScD;
Jennifer Panosian, BA; Ann Goebel-Fabbri, PhD; Marlene Wewalka, MD; Osama Hamdy, MD, PhD;
Martin Abrahamson, MD; Kerri Clancy, RN; Kathleen Foster, RN; David Lautz, MD;
Ashley Vernon, MD; Allison B. Goldfine, MD

Both Show Superiority of Surgery vs. Intensive Medical R

ORIGINAL ARTICLE

Bariatric Surgery versus Intensive Medical Therapy for Diabetes — 3-Year Outcomes

Philip R. Schauer, M.D., Deepak L. Bhatt, M.D., M.P.H., John P. Kirwan, Ph.D., Kathy Wolski, M.P.H., Stacy A. Brethauer, M.D., Sankar D. Navaneethan, M.D., M.P.H., Ali Aminian, M.D., Claire E. Pothier, M.P.H., Esther S.H. Kim, M.D., M.P.H., Steven E. Nissen, M.D., and Sangeeta R. Kashyap, M.D., for the STAMPEDE Investigators*





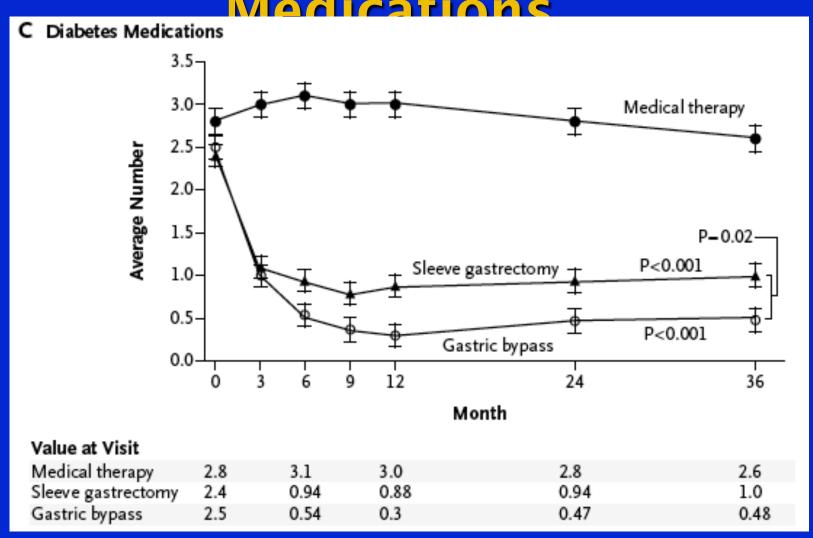
Medical Therapy (n.48)

Primary and Secondary Endpoints at 36 Months

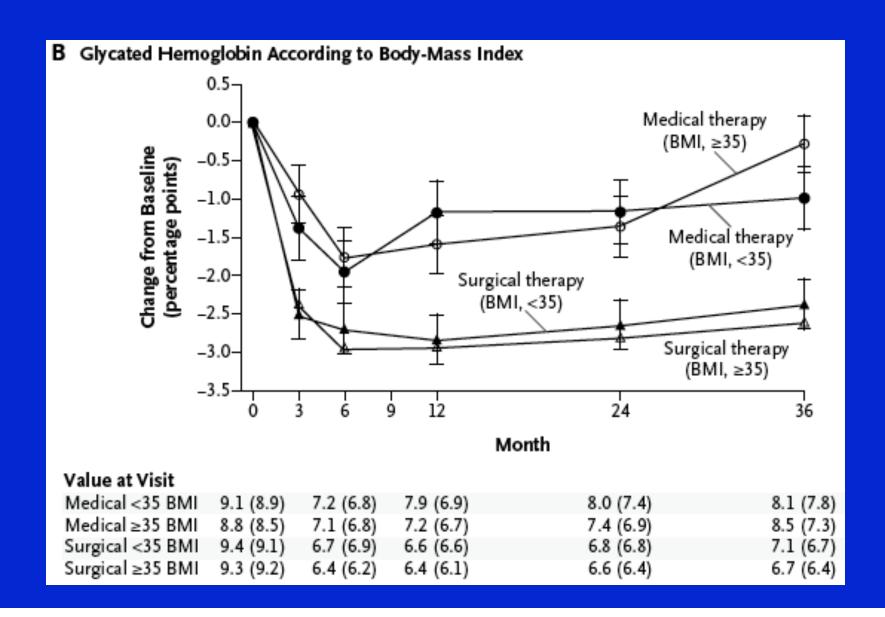
Parameter	Medical Therapy (n=40)	Bypass (n=48)	Sleeve (n=49)	P Value ¹	P Value ²
HbA1c ≤ 6%	5%	37.5%	24.5%	<0.001	0.012
HbA1c ≤ 6% (without DM meds)	0%	35.4%	20.4%	<0.001	0.002
HbA1c ≤ 7%	40%	64.6%	65.3%	0.02	0.02
Change in FPG (mg/dL)	-6	-85.5	-46	0.001	0.006
Relapse of glycemic control	80%	23.8%	50%	0.03	0.34
% change in HDL	+4.6	+34.7	+35.0	<0.001	<0.001
% change in TG	-21.5	-45.9	-31.5	0.01	0.01

 $^{^{1}}$ Gastric Bypass vs Medical Therapy; 2 Sleeve vs Medical Therapy

Change in Diabetes Medications



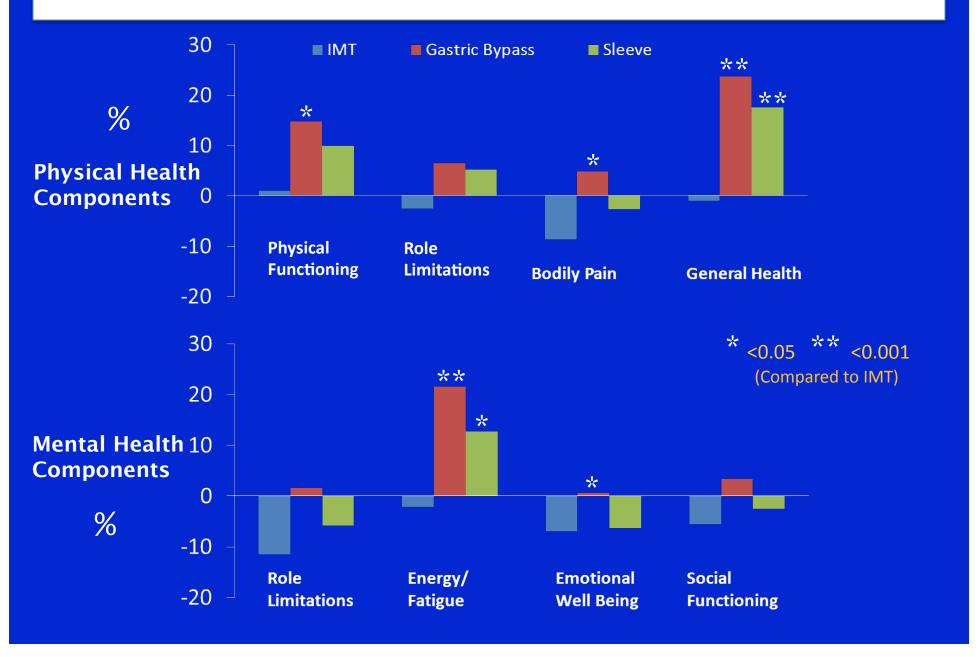
Stampede Trial BMI < 35 vs. BMI ≥ 35



Cardiovascular Medications at Baseline and Month 36

CV medications – number (%)	Medical Therapy (n=40)	Bypass (n=48)	Sleeve (n=49)	
Baseline				
None	0 (0)	3 (6.3)	2 (4.1)	
1 – 2	19 (47.5)	17 (35.4)	28 (57.1)	
<u>></u> 3	21 (52.5)	28 (58.3)	19 (38.8)	
Month 36				
None	1 (2.5)	33 (68.8) *	21 (42.9) *	
1 – 2	18 (45)	14 (29.2)	25 (51)	
<u>></u> 3	21 (52.5)	1 (2.1)	3 (6.1)	

Surgical pts had improved physical function, overall general health, energy and emotional well being; had less body pain. No significant improvement in the medical-therapy group.



Adverse Events through 36 Months

- No Deaths
- •Reoperations: 4 (sleave leak, gallstones, bleeding, abd pa

Table 3. Complications at 3 Years.*			
Complication	Medical Therapy (N = 43)	Gastric Bypass (N=50)	Sleeve Gastrectomy (N = 49)
Gastrointestinal			
Bowel obstruction	1 (2)	1 (2)	1 (2)
Stricture	0	1 (2)	1 (2)
Ulcer	1 (2)	4 (8)	0
Leak	0	0	1 (2)
Intraabdominal bleeding	0	2 (4)	0
Dumping syndrome	0	4 (8)	1 (2)
Gallstone diseases	0	1 (2)	1 (2)

RCT Surgery vs MT for T2DM

Published

- Dixon et al; JAMA 2008
- Mingrone et al NEJM 2012
- Schauer et al NEJM 2012
- Ikramuddin et al JAMA 2013
- Schauer et al NEJM 2014
- O'Brien et al; Lancet Diab End 2014
- Joslin Trial
- Pittsburg Trial

- Ø Surgery more effective than M
- Ø Gradient BPD > RYGB > Sleeνε
- Ø Efficacy for BMI above and below 35kg/m2

- Upcoming/in press
 - COSMID Trial (India)

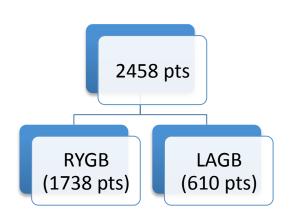
Long-term clinical outcomes of metabolic surgery

Original Investigation

Weight Change and Health Outcomes at 3 Years After Bariatric Surgery Among Individuals With Severe Obesity

Anita P. Courcoulas, MD, MPH; Nicholas J. Christian, PhD; Steven H. Belle, PhD, MScHyg; Paul D. Berk, MD; David R. Flum, MD, MPH; Luis Garcia, MD; Mary Horlick, MD; Melissa A. Kalarchian, PhD; Wendy C. King, PhD; James E. Mitchell, MD; Emma J. Patterson, MD; John R. Pender, MD; Alfons Pomp, MD; Walter J. Pories, MD; Richard C. Thirlby, MD; Susan Z. Yanovski, MD; Bruce M. Wolfe, MD for the Longitudinal Assessment of Bariatric Surgery (LABS) Consortium

JAMA Dec 2013



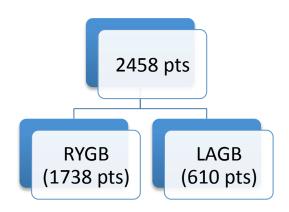
3-year results	RYGB	LAGB
Weight Loss	31.5%	15.9%
Diabetes Remission (HbA1c<6.5%)	67.5%	28.6%
Resolution of Dyslipidemia	61.9%	27.1%
Resolution of Hypertension	38.2%	17.4%

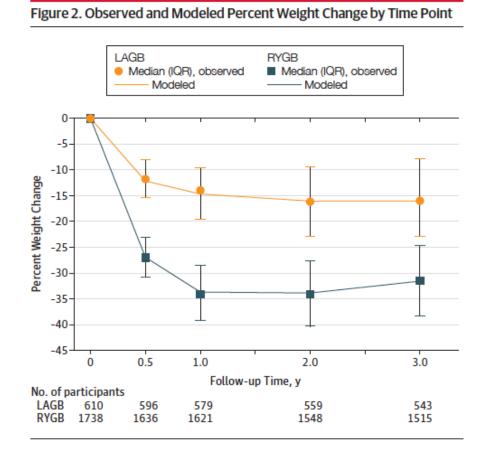
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Original Investigation

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JAMA Dec 2013

RYGB

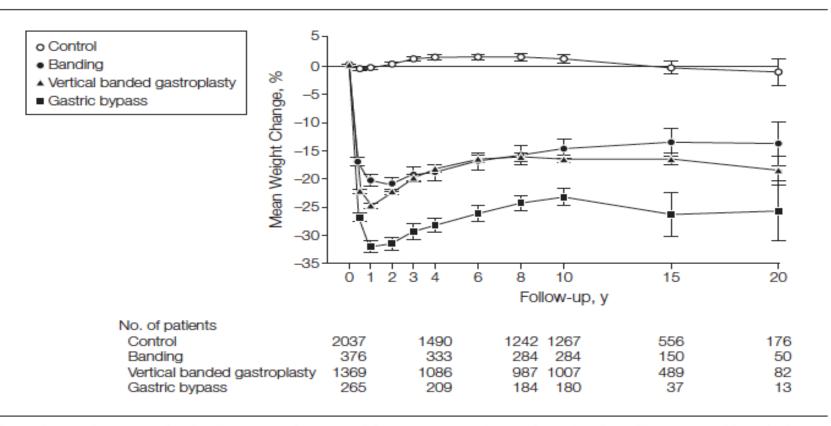
No. of Participants Rate^a (95% CI) Roux-en-Y Gastric Bypass (n = 1738) 16^b 0.9 (0.5-1.5) Deaths Within 30 days of surgery 3 0.2 (0.04-0.5) Sepsis 1 1 Cardiovascular disease Pulmonary embolism 1 13 0.8 (0.4-1.3) More than 30 days after surgery **Bowel obstruction** 1 1 Sepsis 1 Respiratory failure Cardiovascular disease 3 Suicide/substance abuse 2 1 Cancer Indeterminate after 4 adiudication Subsequent bariatric surgery 4 0.3 (0.1-0.9) procedures 2 Revision 2 Reversal

LAGB

Laparoscopic Adjustable Gastric Band (n = 610)			
Deaths	5	0.8 (0.3-1.9)	
Within 30 days of surgery	0	0 (0-0.6)	
More than 30 days after surgery	5	0.8 (0.3-1.9)	
Organ failure	2		
Respiratory failure	1		
Cancer	1		
Indeterminate after adjudication	1		
Subsequent bariatric surgery procedures	77	17.5 (13.8-21.9)	
Band replacement	7		
Port revision	19		
Other revision	10		
Band removal	21		
Revision to another bariatric procedure	20		

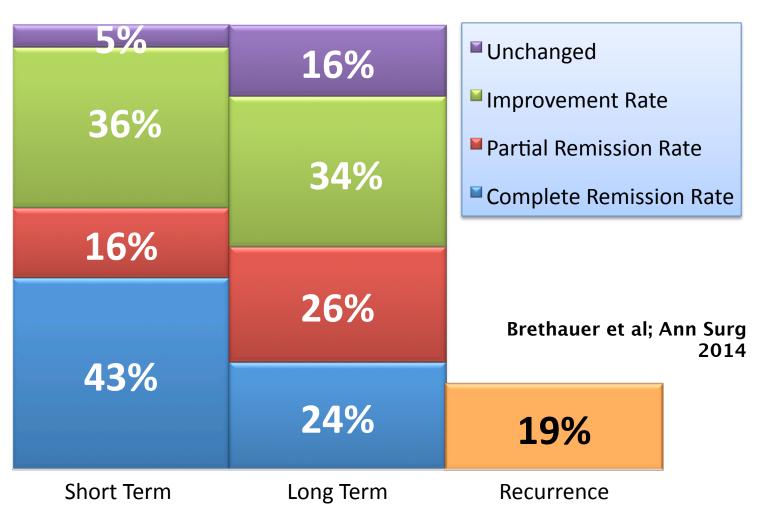
20-Year follow up in the SOS Study: Long-term Weight Loss

Figure 1. Mean Weight Change Percentages From Baseline for Controls and the 3 Surgery Groups Over 20 Years in the Swedish Obese Subjects Study



Data shown for controls obtaining usual care and for surgery patients obtaining banding, vertical banded gastroplasty, or gastric bypass at baseline. Percentage weight changes from the baseline examination and onward are based on data available on July 1, 2011. Error bars represent 95% CIs.

Remission and Recurrence Whole Cohort (n=217)



19% of patients developed recurrence of diabetes; 75% still had A1c <7%

Long-Term Durability of Surgical Control of Diabetes

SOS Study; Sjostrom et al; JAMA, June 2014

Figure 1. Prevalence of Diabetes Remission in the Bariatric Surgery and **Control Groups** 100 Control group 80 Percentage Without Diabetes Surgery group 60 40 20 2 10 15 Follow-up Time, y Total participants Control 207 135 62 Surgery 303 236 115 Odds ratio 13.3 5.3 6.3 (8.5-20.7)(95% CI) (2.9-9.8)(2.1-18.9)

Diabetes Care





Incidence of Remission in Adults With Type 2 Diabetes: The Diabetes & Aging Study

Andrew J. Karter,¹ Shantanu Nundy,^{2,3} Melissa M. Parker,¹ Howard H. Moffet,¹ and Elbert S. Huang^{4,5,6}

DOI: 10.2337/dc14-0874

Diabetes Care Publish Ahead of Print, published online September 17, 2014

122,781 patients UNDER MEDICAL MANAGEMENT ONLY

Time of Hx of DM – 6

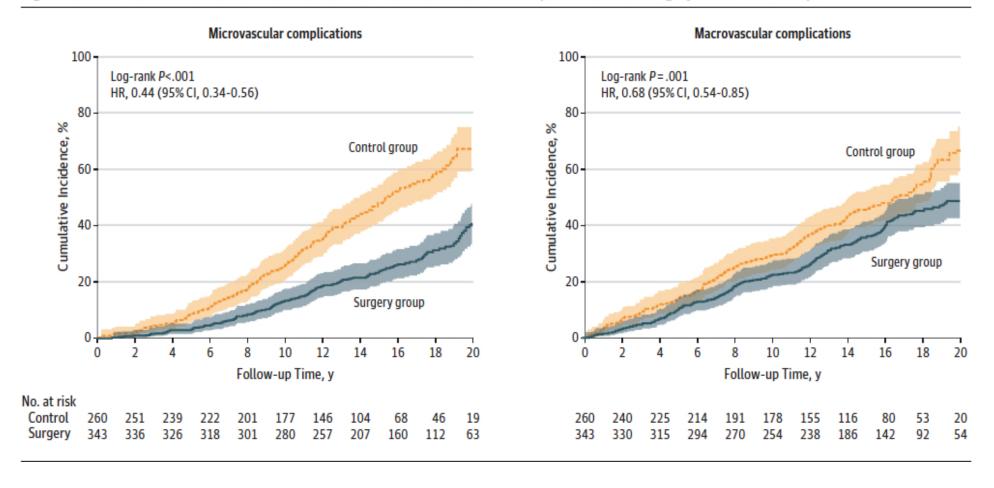
Partial remission – at least 1 year with A1c 5.7 to 6.4% Complete – at least 1 y with A1c < 5.7% Prolonged– Complete remission > 5 years

Partial	2.8%
Complete	0,24%
Prolonged	0,04%

Surgery Reduces Long-Term Microvascular and Macrovascular Diabetes Complications

SOS Study; Sjostrom et al; JAMA 2014

Figure 3. Cumulative Incidence of Microvascular and Macrovascular Diabetes Complications in the Surgery and Control Groups

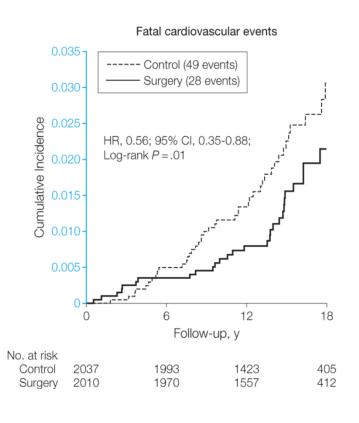


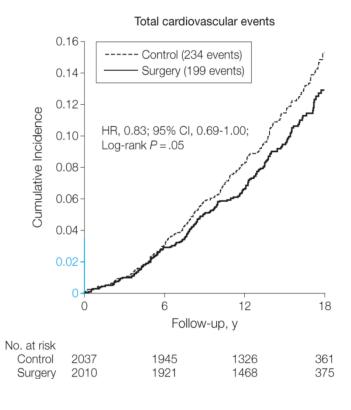
Bariatric Surgery and Long-term Cardiovascular Events

Lars Sjöström, MD, PhD Et al

JAMA 2012

Fatal and Total CV events



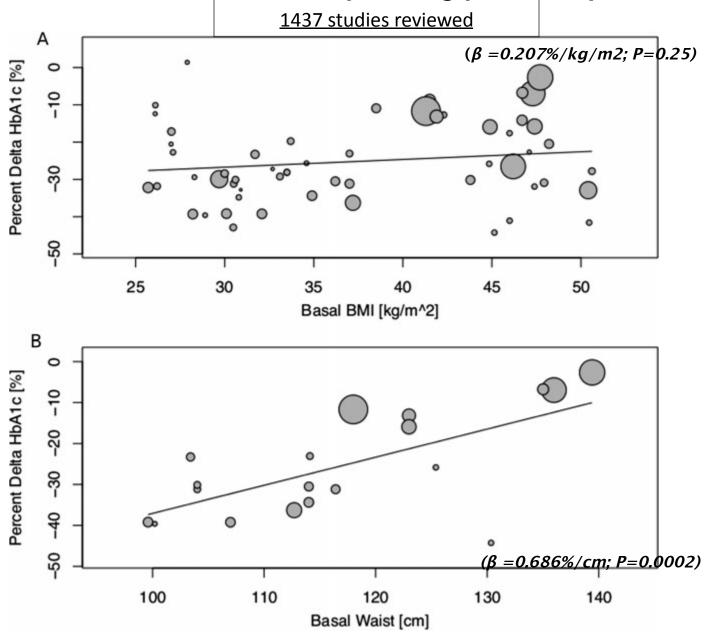


Bariatric Surgery Associated With Reduced Long-Term, All-Cause Mortality Compared With Non-Operated Controls

Study	Procedure	F/U	Mortality Reduction
MacDonald, 1997	RYGB	9 yr	88%
Flum, 2004	RYGB	4.4 yr	33%
Christou, 2004	RYGB	5 yr	89%
Sowemimo, 2007	RYGB	4.4 yr	63%
Dixon, 2007	LAGB	12 yr	72%
Adams, 2007	RYGB	8.4 yr	40%
Sjostrom, 2007	VBG/other	14 yr	31%
Perry, 2008	RYGB/LAGB	2 yr	48%

Predicting outcomes of metabolic surgery

Baseline BMI Does not predict glycemic improvements



Panunzi et al. Ann Surg 201

Patient Factors and Outcomes Associated with Remission of Hyperglycemia (N=191)

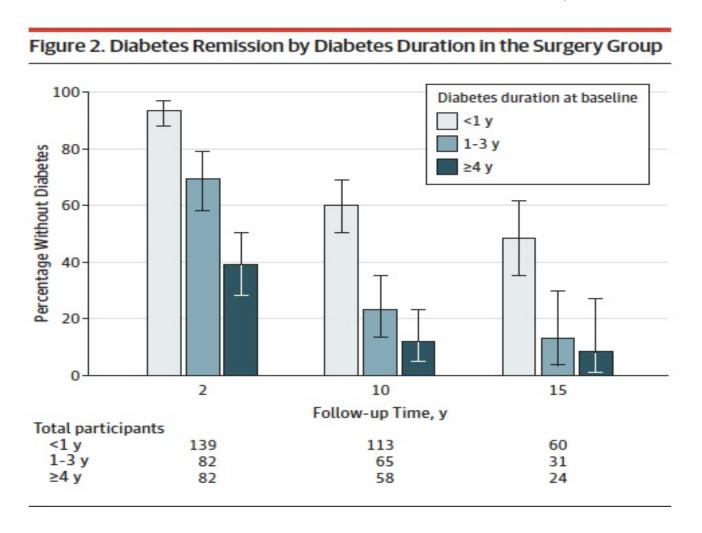
	Improved	Resolved	P
	33	158	
Age	48.2	47.8	0.724
Gender (% female)	70%	76%	0.615
Preop BMI	51	50	0.270
Postop BMI	37	33	0.002
%EWL	42	62	< 0.001
Preop HA1C	8.8	8.1	0.033
Preop FPB	189	183	0.436
Duration of DM	10.7	4.1	< 0.001
% insulin users	63%	23%	< 0.001

Preoperative predicting factors:

- Duration of disease
- Use of Insulin

Longer duration of diabetes at baseline is associated with lower long-term disease remission-term

JAMA, June 2014

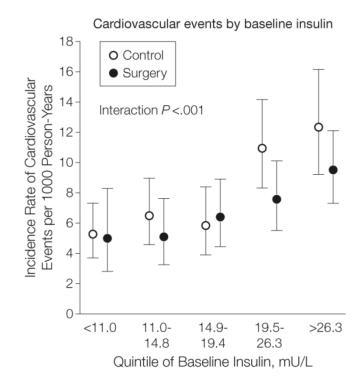


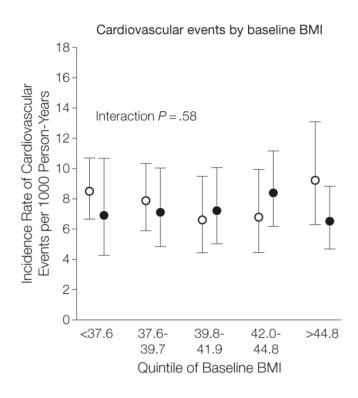
Bariatric Surgery and Long-term Cardiovascular Events

Lars Sjöström, MD, PhD Et al

Reduction of CV Disease not predicted by Baseline BMI

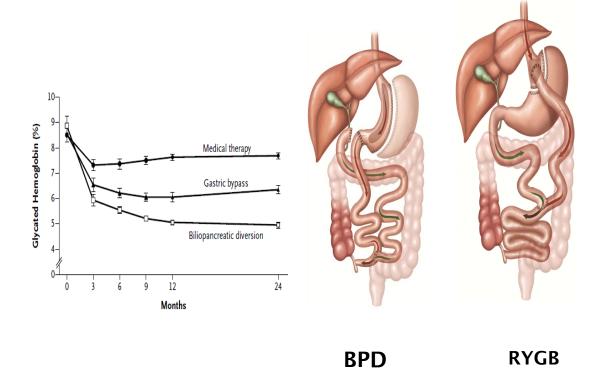
JAMA 2012





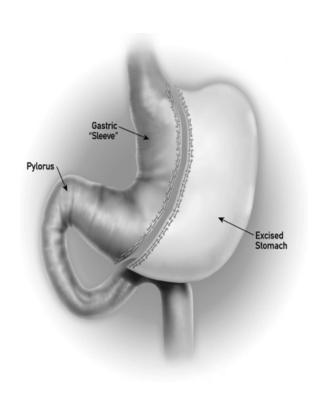
Comparing procedures

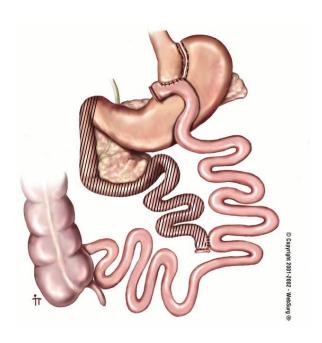
BPD vs RYGB



	Late complications	
	BPD group	RYGB group
Incisional	1 (5.3%)	0
hernia	1 ô	
(9 months)		
Intestinal		1(5.3%)
occlusion	0	1 ô
(6 months)		
Iron	2 (10.5%)*	2 (10.5%)
deficiency	2 ♀	2 ♀
anemia		
Hypoalbumin	2 (10.5%)*	0
emia	1 ♀ 1 ♂	
<3.5 g/l		
Osteopenia	1 (5.3%)	0
BMD T-score	1 ♀	
=-2		
Osteoporosis	1(5.3%)*	0
BMD T-score	1 ♀	
=-2.7		

Sleeve Gastrectomy vs RYGB





Metabolic Effects of Bariatric Surgery in Patients With Moderate Obesity and Type 2 Diabetes

Analysis of a randomized control trial comparing surgery with intensive medical treatment

SANGEETA R. KASHYAP, MD¹
DEEPAK L. BHATT, MD, MPH²
KATHY WOLSKI, MPH³
RICHARD M. WATANABE, PHD⁴
MUHAMMAD ABDUL-GHANI, MD, PHD⁵
BETH ABOOD, RN¹

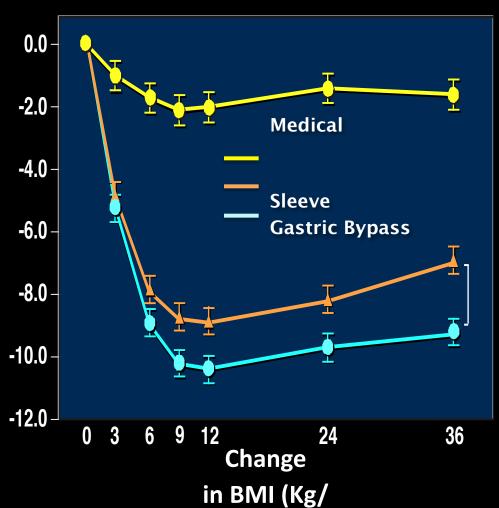
CLAIRE E. POTHIER, MPH³
STACY BRETHAUER, MD⁶
STEVEN NISSEN, MD³
MANJULA GUPTA, PHD¹
JOHN P. KIRWAN, PHD⁷
PHILIP R. SCHAUER, MD⁶

progressive hyperglycemia, subsequent microvascular complications, and macrovascular complications. Although lifestyle modifications and oral hypoglycemic agents improve glycemic control, the majority of patients do not achieve the optimal

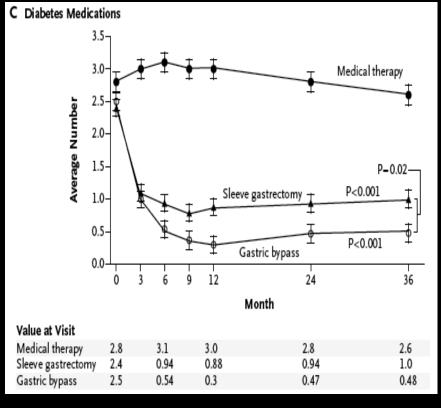
STAMPEDE TRIAL: 24 months

Despite similar weight loss as sleeve gastrectomy, RYGB uniquely restores beta-cell function and reduces truncal fat"

Stampede Trial SG vs RYGB @ 36 months



 M^2)



Cardiovascular Medications at Baseline and Month 36

CV medications – number (%)	Medical Therapy (n=40)	Bypass (n=48)	Sleeve (n=49)	
Baseline				
None	0 (0)	3 (6.3)	2 (4.1)	
1 – 2	19 (47.5)	17 (35.4)	28 (57.1)	
<u>≥</u> 3	21 (52.5)	28 (58.3)	19 (38.8)	
Month 36				
None	1 (2.5)	33 (68.8) *	21 (42.9) *	
1 – 2	18 (45)	14 (29.2)	25 (51)	
<u>></u> 3	21 (52.5)	1 (2.1)	3 (6.1)	



QOL Changes

Gastric Bypass: 5/8 domains improved

Sleeve Gastrectomy: 2/8 domains improved

Intensive Med Rx: 0/8 domains improved



Surgery for T2DM indicated in obese diabete (BMI>30 kg/m2)

Diabetes Surgery Summit Rome, Italy March 2007







American Diabetes Association



Position Statement 2011

NICE Draft Recommendations 2014



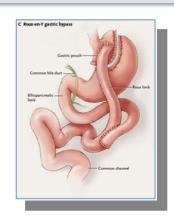
Figure from:

Endocrine News; Dec 2013

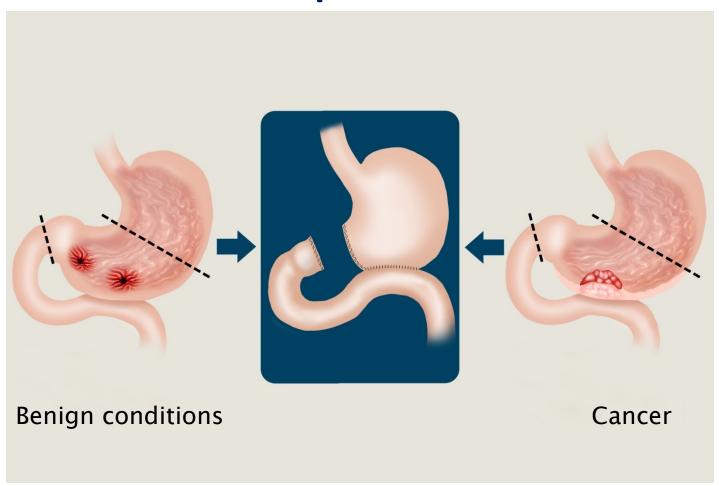


Original Use/ Indication Clinical Observations Additional/Unexpected Benefits

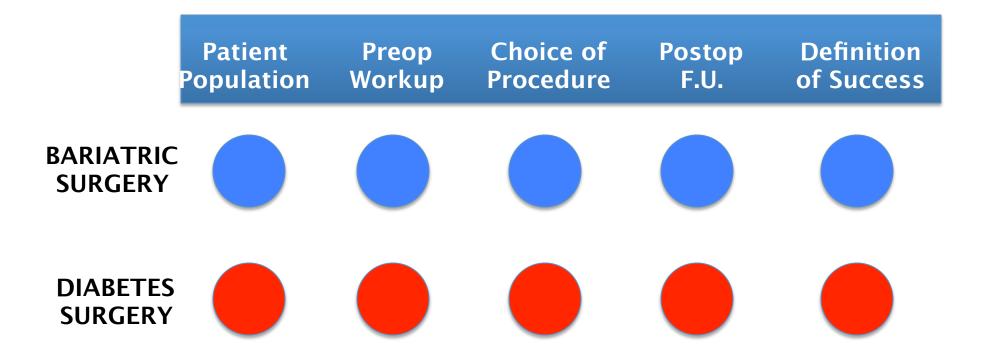
New Understanding Mechanisms of Action Treatment
Approved for
Other
Indications



Surgical Disciplines are <u>not</u> defined by the type of procedure



BARIATRIC SURGERY vs DIABETES SURGERY



3rd World Congress on Interventional Therapies for Diabetes &

2nd Diabetes Surgery Summit (DSS)



Joined Event

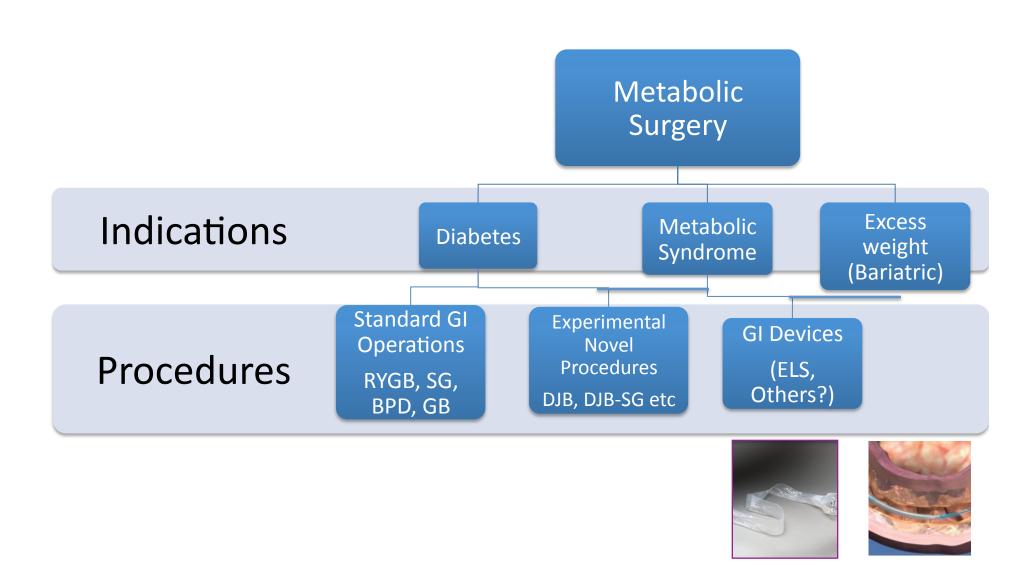
LONDON, UK SEPTEMBER 2015



the most important event in *Diabetes and Metabolic Surgery*

Witness history as we develop global guidelines that will shape the future of diabetes care!

More to come...



DIABETES AND OPERATION.

A NOTE ON THE EFFECT OF GASTRO-JEJUNOSTOMY UPON A CASE OF MILD DIABETES MELLITUS WITH A LOW RENAL THRESHOLD.

BY O. LEYTON, M.D. CAMB., F.R.C.P. LOND., PHYSICIAN TO THE LONDON HOSPITAL.

How can we account for the apparent improvement? The glycosuria was absent after operation in spite of a diet containing a fair amount of carbohydrate. In order to determine whether the operation

THE AMELIORATION OF DIABETES MELLITUS FOLLOWING SUBTOTAL GASTRECTOMY

MURRY N. FRIEDMAN, M.D., F.A.C.S., ANTONIO J. SANCETTA, M.D., and

GEORGE J. MAGOVERN, M.D., Brooklyn, New York

1955

In 1923, MURLIN noted the presence of a substance in extracts of the pancreas which could raise the blood sugar. Subsequently, this hyperglycemic factor was demonstrated and duodenum. Therefore, when subtotal gastrectomy for duodenal ulcer resulted in marked amclioration of the diabetic state in 3 patients at the Brooklyn Veterans Hospi-

Potential of Surgery for Curing Type 2 Diabetes Mellitus

Rubino and Gagner, Ann Surg 2002

Hypothesis

- Surgery to intentionally treat diabetes (diabetes surgery)
 - Weight-independent mechanisms
- •GI tract as a target for anti-diabetes drugs/interventions
- The GI Tract may harbor mechanisms of disease ("anti-incretin theory")

Newsweek

... "Rubino's idea boils down to one impolite word used to refer to the excrement of steers" (= "bull....")

Francesco Rubino and Stephanie A. Amiel



Is the Gut the "Sweet Spot" for the Treatment of Diabetes?





Diabetes 2014;63:1-4 | DOI: 10.2337/db14-0402

Oskar Minkowski possessed a rare combination of talents: He was an internist with the intuition of a scientist and the dexterity of a surgeon. One day in 1889, he and his In particular, Roux-en-Y gastric bypass (RYGB) restores first-phase insulin response (10) and results in hypersecretion of C-peptide and insulin following nutrient in-

Diabetes, July 2014, in press

